

PATENT ATTORNEY DOCKET NO. 03848-00093

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re A                | application of:            | ) |                              |
|------------------------|----------------------------|---|------------------------------|
|                        | Janet A. Warrington et al. | ) | Examiner: Diana B. Johannsen |
| Serial No.: 09/950,016 |                            | ) |                              |
|                        |                            | ) | Group Unit: 1634             |
| Filed:                 | September 10, 2001         | ) |                              |
|                        |                            | ) |                              |
| For:                   | METHODS FOR DETECTING AND  | ) |                              |
|                        | DIAGNOSING ORAL CANCER     | ) |                              |
|                        |                            |   |                              |

Commissioner for Patents Mail Stop RCE P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit the enclosed PTO Form 1449. Copies of the references are enclosed. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56 and is being filed concurrently with an RCE Request. Accordingly, no fee is due.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This statement is not a

representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. § 102 or § 103.

Please apply any charges or credits to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: October 25, 2001

Judith Stone-Hulslander, Reg. No. 55,652

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| USPTO Form 144 9   |                                 | . 101 .   | Department of Commerce tent and Trademark Office  | Attorney Docket No. 03  | Serial No. 09/950,016              |                                    |               |          |  |  |
| ,  | INFORMATION DISCLOSURE CITATION |   |   | Applicants: Janet A. Warrington et al.  |                                    |                                    |               |          |  |  |
| ,  | NION                            | Sheet 1 of 2  | CITATION  | Filing Date: September  | 10, 2001                           |                                    | Group:        | 1641     |  |  |
|  |                                 | HADE  | U.S. PATENT   | DOCUMENTS   |                                    | -                                  | ····          |          |  |  |
| Examiner<br>Initial  |                                 | Patent No.  | Date  | Name  | Class                              | Subclass Filing Date (if appropria |               |          |  |  |
|  | AA                              |   |   |   |                                    |                                    |               |          |  |  |
|  | <u> </u>                        |   | FOREIGN PATE  | NT DOCUMENTS  |                                    |                                    | <del>1 </del> |          |  |  |
| Examiner   |                                 | Document No.  | Date  | Country   | Class                              | Subclass                           | Translation   |          |  |  |
| Initial  |                                 |   |   |   |                                    |                                    | YES           | NO       |  |  |
|  | AB                              |   |   |   |                                    |                                    |               |          |  |  |
|  | . <b>.</b>                      | OTHER DOCU  | MENTS (including Au   | uthor, Title, Date, Pertinen  | t Pages, etc.                      | .)                                 |               | <u> </u> |  |  |
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|  | AF                              | Kimura et al., "Conventional tumor markers are prognostic indicators in patients with head and neck squamous cell carcinoma," <i>Cancer Lett.</i> , 155:163-168 (2000)      |   |   |                                    |                                    |               |          |  |  |
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| EXAMINE  | R                               |   |   |   | DATE CO                            | ONSIDERE                           | D             |          |  |  |
| *EXAMINER:   | Initial if a                    | reference considered, whether o   | r not citation is in conformatication to Applicant.**Copi   | nce with MPEP 609. Draw line tes of references not provided at t  | hrough citation<br>he time of this | if not in confo<br>submission.     | rmance and    | l not    |  |  |

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| USPTO Form 144 9  |  | Dat Dat  | Department of Commerce<br>tent and Trademark Office       | Attorney Docket No. 03848-00093   Serial No. 09/950,016  |   |                 |                              |          |  |
| INFORMATION DISCLOSURE CITATION  Sheet 2 002  |  |  | Applicants: Janet A. Warrington et al.                    |  |   |                 |                              |          |  |
|   |  |  | Filing Date: Septemb                                      | Filing Date: September 10, 2001  |   |                 | Group: 1641                  |          |  |
|   |  | DADEM  | U.S. PATENT   | DOCUMENTS  |   |                 | <u> </u>                     |          |  |
| Examiner<br>Initial   |  | Patent No.   | Date  | Name Class   |   |                 | Filing Date (if appropriate) |          |  |
|   | BA   |  |   |  |   |                 |                              |          |  |
|   |  |  | FOREIGN PATE  | NT DOCUMENTS   |   |                 |                              |          |  |
| Examiner<br>Initial   |  | Document No.   | Date  | Country  | Class                                   | Subclass        | Translation                  |          |  |
|   |  |  |   |  |   |                 | YES                          | NO       |  |
|   | ВВ   |  |   |  |   |                 |                              |          |  |
|   |  | OTHER DOCU   | MENTS (including A  | uthor, Title, Date, Pertin   | ent Pages, etc.                         | )               |                              |          |  |
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|   | BG   | Porte et al., "Overexpression of Stromelysin-3, BM-40/SPARC, and MET Genes in Human Esophageal Carcinoma: Implications for Prognosis," <i>Clin. Cancer Res.</i> , 4:1375-1382 (1998) |   |  |   |                 |                              |          |  |
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|   | ВЈ   | Strojan et al., "Progno<br>Endogenous Inhibitor<br>Neck," Clin. Cancer I   | s Stefins A and B in                                      | Patients with Squamo   |   |                 |                              | and      |  |
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| BL Viaene and Baert, "Expression of Cytokeratin-mRNAs in squamous-cell carcinoma and b formation of human oesophageal epithelium," <i>Histochem. J.</i> , 27:69-78 (1995) |  |  |   |  |   | balloon         | -cell                        |          |  |
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| BN Yeudall et al., "Functional Characterization of p53 Molecules Expressed in Human Carcinomas of the Head and Neck," <i>Mol. Carcinog.</i> , 18:89-96 (1997)             |  |  |   |  |   | Iuman Squa      | quamous Cell                 |          |  |
| EXAMINE   | ER.  |  | <del></del>   |  | DATE CO                                 | ONSIDEREI       | )                            | _        |  |
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